

Symmetric tensors with only real eigenvectors

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Politecnico di Milano, 12 September 2017

Motivation: rank one approximation of a tensor

A real n^d -tensor $\mathcal{A} \in \underbrace{\mathbb{R}^n \otimes \cdots \otimes \mathbb{R}^n}_d$ is said to be of **rank one** if

$$\mathcal{A} = x_1 \otimes \cdots \otimes x_d \text{ for some } x_1, \dots, x_d \in \mathbb{R}^n.$$

Denote by X the set of real n^d -tensors of rank one and for a general n^d -tensor \mathcal{A} define the distance function from \mathcal{A} to X :

$$\text{dist}_{\mathcal{A}} : X \rightarrow \mathbb{R}$$

$$x = x_1 \otimes \cdots \otimes x_d \mapsto \|\mathcal{A} - x\|^2 = (\mathcal{A}, \mathcal{A}) - 2(\mathcal{A}, x) + (x, x)$$

Goal: Find the best rank one approximation of a tensor (minimize $\text{dist}_{\mathcal{A}}$).

To keep in mind: Approximate complicated tensors by simple ones.

Minimizer(s) of $\text{dist}_{\mathcal{A}}$ are among its critical points.

When the tensor \mathcal{A} is symmetric the critical points of $\text{dist}_{\mathcal{A}}$ are

$\lambda \cdot x \otimes \cdots \otimes x$, where $x \in \mathbb{R}^n$ is a (unit) **eigenvector** of \mathcal{A} and $\lambda \in \mathbb{R}$ is the corresponding **eigenvalue**.

Spectral theory of matrices (Cauchy, 1829)

$\mathcal{A} = \{a_{ij}\}$ - $n \times n$ matrix. Non-zero vectors $x \in \mathbb{C}^n$ satisfying

$$\text{rank} \begin{pmatrix} \sum_{j=1}^n a_{1j}x^j & \dots & \sum_{j=1}^n a_{nj}x^j \\ x^1 & \dots & x^n \end{pmatrix} = 1$$

are **eigenvectors** of \mathcal{A} . (make sure it is just $\mathcal{A}x = \lambda x$ for some $\lambda \in \mathbb{C}$)

A **generic** $n \times n$ matrix defines n complex **eigenpoints** $x \in \mathbb{C}P^{n-1}$.

If \mathcal{A} is symmetric ($a_{ij} = a_{ji}$) all its eigenpoints are real ($x \in \mathbb{R}P^{n-1}$).

For a symmetric matrix \mathcal{A} consider its quadratic form $f_{\mathcal{A}}(x) = x^t \mathcal{A}x$.

Critical points of $f_{\mathcal{A}} : S^{n-1} \rightarrow \mathbb{R}$ are eigenvectors of \mathcal{A} :

$$\nabla f_{\mathcal{A}}(x) = \lambda \nabla |x|^2, \quad \lambda \in \mathbb{R}, x \in S^{n-1} \iff \mathcal{A}x = \lambda x$$

Moreover, $f_{\mathcal{A}}$ is a **Morse** function iff \mathcal{A} is generic.

Spectral theory of tensors (Lim and Qi, 2005)

$\mathcal{A} = \{a_{i_1 \dots i_n}\}$ - real n^d -tensor (coordinate representation of $\mathcal{A} \in (\mathbb{R}^n)^{\otimes d}$).

Non-zero vectors $x \in \mathbb{C}^{n-1}$ satisfying

$$\text{rank} \begin{pmatrix} \sum_{i_2, \dots, i_d=1}^n a_{1i_2 \dots i_d} x^{i_2} \dots x^{i_d} & \dots & \sum_{i_2, \dots, i_d=1}^n a_{ni_2 \dots i_d} x^{i_2} \dots x^{i_d} \\ x^1 & \dots & x^n \end{pmatrix} = 1$$

are **eigenvectors** of \mathcal{A} [4, 6].

A generic tensor defines $\frac{(d-1)^n - 1}{d-2} = \sum_{i=0}^{n-1} (d-1)^i$ eigenpoints in $\mathbb{C}P^{n-1}$ [3].

\mathcal{A} is said to be **symmetric** if its entries are invariant w.r.t. permutations of their indices. Symmetric tensors correspond to homogeneous polynomials:

$$\mathcal{A} = \{a_{i_1 \dots i_d}\} \iff f_{\mathcal{A}}(x) = \sum_{i_1, \dots, i_d=1}^n a_{i_1 \dots i_d} x^{i_1} \dots x^{i_d}$$

Symmetric tensors

Real eigenpoints $x \in \mathbb{R}P^{n-1}$ correspond to critical points of $f_{\mathcal{A}} : S^{n-1} \rightarrow \mathbb{R}$:

$$\nabla f_{\mathcal{A}}(x) = \lambda \nabla |x|^2, \quad \lambda \in \mathbb{R}, x \in S^{n-1}$$

"Most" tensors have less than $\frac{(d-1)^{n-1}}{d-2}$ real eigenpoints [2, 5].

Question

Do there exist generic tensors with only real eigenpoints?

$n = 2$: trivially yes.

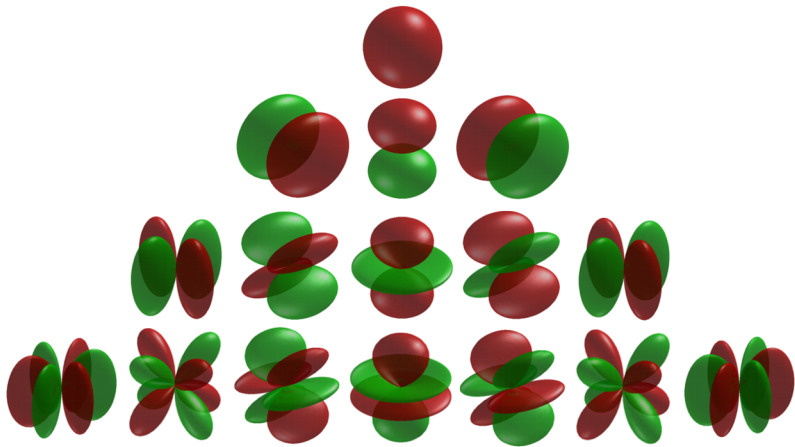
$n = 3$: yes, the product of general linear forms $(v_1, x) \cdots (v_d, x)$, $v_i \in \mathbb{R}^n$ defines such a tensor [1].

$n > 3$: was unknown (Conjecture of Abo, Seigal, Sturmfels [1], 2015).

Spherical harmonics

The spherical Laplacian $-\Delta : C^\infty(S^{n-1}) \rightarrow C^\infty(S^{n-1})$ has spectrum $\{d(d+n-2)\}_{d \geq 0}$ and the eigenfunctions corresponding to $d(d+n-2)$ are the restrictons to S^{n-1} of harmonic homogeneous polynomials of degree d .

Consider now $n = 3$.



$P_d(t)$ - degree d Legendre polynomial,

$Y_{d,0}(\theta, \varphi) = P_d(\cos(\theta))$ -

zonal harmonic of degree d ,

$Y_{d,d}(\theta, \varphi) = \sin^d \theta \cdot \cos(d\varphi)$ -

sectorial harmonic of degree d .

Any small perturbation $Y_{d,0} + \varepsilon \cdot Y_{d,d}$

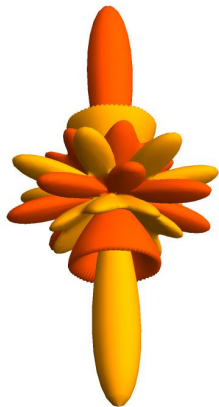
is a Morse function which has

$2[(d-1)^2 + (d-1) + 1]$ critical points on S^2 .

In high dimensions $n > 3$ instead of $Y_{d,d}$ take

a Morse spherical harmonic in $n-1$ variables with

$2[(d-1)^{n-2} + \dots + (d-1) + 1]$ critical points.



$d=7$

Theorem

For any $n \geq 2$ and any $d \geq 0$ there exist a spherical harmonic of degree d in n variables which defines $\frac{(d-1)^{n-1}}{d-2}$ real eigenpoints.

References

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Thanks!